

# Moral and Legal Accountability for General-Purpose Technologies

Intellectual Property Scholars Conference

August 8, 2024

David Gray Widder  
Helen Nissenbaum  
James Grimmelman

# Motivation

# A paradox

- Why do *other* uses affect liability for *this* use?
- Don't *more* uses = *more* harms = *more* liability?

Generality

# Definitions

*A general-purpose technology*

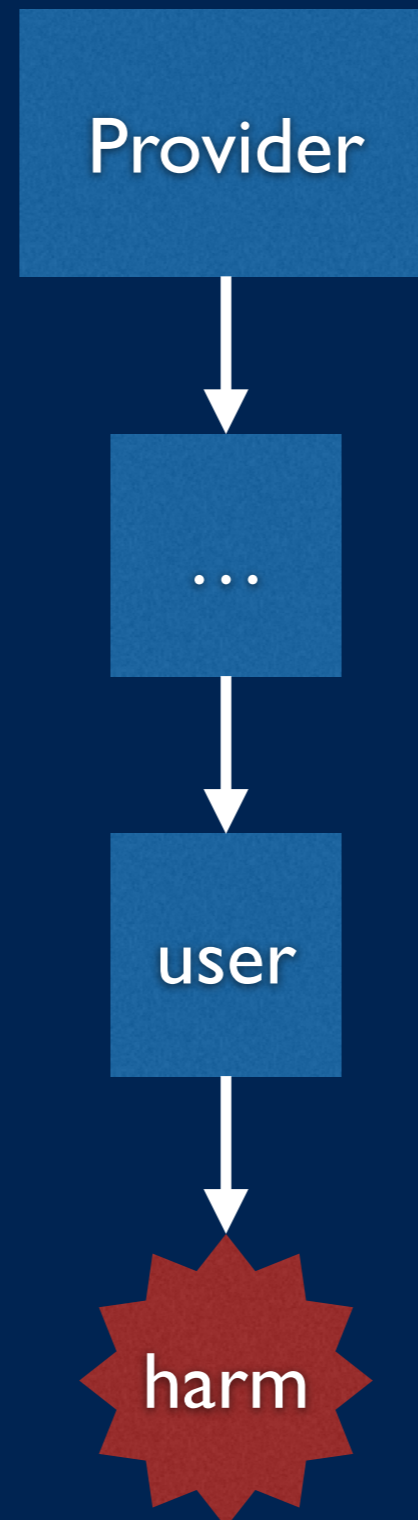
- (1) *has diverse affordances*: i.e., it can be applied to a wide range of uses
- (2) *for others*: i.e., those uses are made by downstream actors

*A technology is made available by a provider to users*

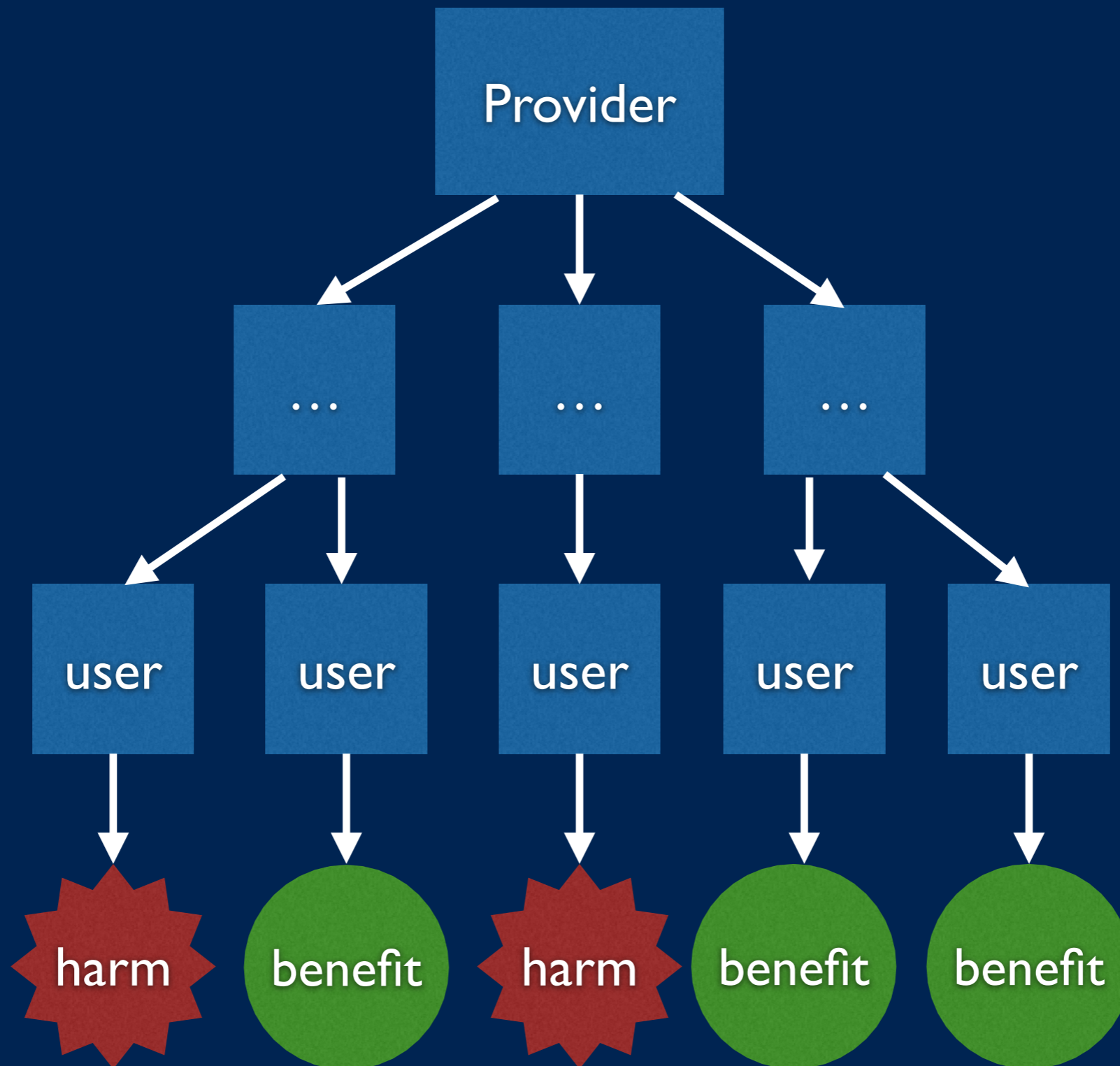
# The best version of the general-purpose argument

- Imposing liability for harmful uses would threaten the technology's availability for beneficial uses
- A general-purpose technology:
  - Is more distant from harmful uses (*vertical*)
  - Has more beneficial uses (*horizontal*)

*Vertical*: more general-purpose =  
greater distance from harmful uses



*Horizontal:* more general-purpose =  
beneficial uses offset harmful ones









# Related ideas

- Innovation theories:
  - Zittrain: *generativity*
  - Frischmann: *infrastructure*
  - Macroeconomists: *general-purpose technologies*
- Liability theories:
  - Dual-use technologies
  - Chilling effects

*Assessing responsibility*

# A taxonomy of providers' responsibility for technology

	Vertical	Horizontal
Value	 Contribution  Payment	Weight
Power	 Control  Knowledge	Distinguishability

# What we talk about when we talk about value

- “harm” and “benefit” are contested
- People disagree on:
  - *which uses* are harmful or beneficial
  - *how large* the harms or benefits are
  - *how to weigh* them against each other
- Our framework is modular with respect to any particular normative theory of harm and benefit

# Contribution (downstream value)

- Did the technology *cause* the outcome?
- How much of the use's *cost* is due to the technology?
- How much of the use's *value* is due to the technology?
- How much of the use's *harm* is due to the technology?
- Are there *substitutes* for the technology?

# Payment (upstream value)

- Does the provider receive *direct payment* from users?
- Does it receive *indirect payment* from other sources?
- Does it receive *non-monetary benefits*?
- Are payments linked to *individual uses*?
- Are payments *greater from harmful uses*?

# Control (downstream power)

- Can the provider *modify* the technology's functionality on an ongoing basis?
- Does it have a *legal right* to control uses?
- Does it have *contracts* with users?
- Does it *disclaim* responsibility?
- Does it *discourage* or *promote* harmful uses?
- Did it *deliberately give* any of these abilities?

# Knowledge (upstream power)

- Does the provider give *instructions* for harmful uses?
- Does its documentation or marketing *contemplate* them?
- Is there *public awareness* of them?
- Can the provider *surveil* uses of the technology?
- Does it have *partnerships* with users?
- Is the technology *actually used* in harmful ways?
- If so, does the provider have *actual knowledge* of them?



# Weight (horizontal value)

- What are the *absolute magnitudes* of harmful and beneficial uses?
- What is the *relative balance* of harmful and beneficial uses?
- How could the balance *shift* over time?
- [NB: There can be normative disagreement on magnitudes and how to balance them]

# Distinguishability (horizontal power)

- (Is there *consensus* on which uses are beneficial and harmful?)
- Are there *explicit rules* distinguishing beneficial and harmful uses?
  - Can they be implemented *technically*?
  - Can they be implemented *at scale*?
  - What are the *costs* of implementing them?

Questions